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## RELATED CAPABILITIES

Class Action & Complex Mass Tort

Construction Claims and Litigation

Franchising

General Corporate Advice

Healthcare Litigation & Administrative Proceedings

Joint Ventures & Partnerships

Medical Malpractice Litigation

Mergers & Acquisitions

Probate, Trusts & Fiduciary Litigation

Products Liability

Professional Liability

Securities & Shareholder Litigation

Tax

Tax

# SECURITIES & CORPORATE GOVERNANCE

Our Securities and Corporate Governance lawyers advise clients on all matters involving federal and state securities regulation, including public offerings, private placements, stock market and exchange listings, and ongoing reporting requirements and disclosure issues.

We regularly advise both new and mature companies regarding the impact of securities laws, as well as tax and financial considerations involved in stock option and restricted stock grant plan arrangements. In addition, our attorneys counsel directors, officers and board committees regarding:

- Day-to-day corporate governance issues
- Compliance with the Sarbanes-Oxley Act of 2002 and related regulations
- Corporate governance-related disclosure requirements
- Fiduciary duty and shareholder relations matters
- Insider trading issues

We assist clients by drafting and reviewing their corporate governance arrangements, including board committee charters, disclosure and insider trading policies and codes of ethics. Our securities attorneys understand that each company is unique and requires tailored policies and procedures to adapt to the changing corporate governance and disclosure regime. We draw on a broad understanding of the current regulatory environment and industry experience to address clients' particular needs.

## NEWS & INSIGHTS

Hill Ward Henderson Receives Regional Rankings from U.S. News – Best Lawyers® 2022  
Firm News, 11.4.21

## Securities & Corporate Governance

Hill Ward Henderson Receives National and Regional Rankings from  
U.S. News – Best Lawyers® 2021  
Firm News, 11.5.20

### CLIENT ADVISORIES

Securities Client Advisory Jan 2020  
Advisory, 1.24.20